# Scrutiny Task and Finish Panel Agenda



#### Provision of Value for Money within Planning Services Task and Finish Panel Monday, 3rd December, 2007

Place: Committee Room 1, Civic Offices, High Street, Epping

**Time**: 7.30 pm

**Democratic Services** Zoe Folley ext 4532

Officer: email: zfolley@eppingforestdc.gov.uk

Members:

Councillors Mrs L Wagland (Chairman), R Bassett, M Colling, R Frankel, D Jacobs, P McMillan, G Mohindra, R Morgan, Mrs P Richardson and H Ulkun

#### 1. APOLOGIES FOR ABSENCE

#### 2. SUBSTITUTE MEMBERS (COUNCIL MINUTE 39 - 23.7.02)

(Assistant to the Chief Executive) To report the appointment of any substitute members for the meeting.

#### 3. DECLARATIONS OF INTEREST

(Assistant to the Chief Executive). To declare interests in any items on the agenda.

In considering whether to declare a personal or a prejudicial interest under the Code of Conduct, Overview & Scrutiny members are asked pay particular attention to paragraph 11 of the Code in addition to the more familiar requirements.

This requires the declaration of a personal and prejudicial interest in any matter before an OS Committee which relates to a decision of or action by another Committee or Sub Committee of the Council, a Joint Committee or Joint Sub Committee in which the Council is involved and of which the Councillor is also a member.

Paragraph 11 does not refer to Cabinet decisions or attendance at an OS meeting purely for the purpose of answering questions or providing information on such a matter.

Provision of Value for Money within Planning Services Task and Finish PanelMonday, 3 December 2007

4. NOTES OF LAST MEETING - 23 OCTOBER 2007 (Pages 3 - 6)

Attached.

5. TERMS OF REFERENCE/ WORK PROGRAMME (Pages 7 - 10)

Attached.

6. BEST VALUE REVIEW OF PLANNING SERVICES (Pages 11 - 38)

To consider the attached.

The Panel is reminded that this is work in progress.

7. BVPI SCHEDULES/CUSTOMER SATISFACTION REPORT (Pages 39 - 66)

To consider the attached reports.

- 8. ANY OTHER BUSINESS
- 9. DATE OF NEXT MEETING

To be agreed.

# EPPING FOREST DISTRICT COUNCIL NOTES OF A MEETING OF PROVISION OF VALUE FOR MONEY WITIHIN PLANNING SERVICES TASK AND FINISH PANEL HELD ON TUESDAY, 23 OCTOBER 2007 IN COMMITTEE ROOM 1, CIVIC OFFICES, HIGH STREET, EPPING AT 7.30 - 9.15 PM

Members

Mrs L Wagland (Chairman), , R Bassett, M Colling and D Jacobs

Present:

Other members

present:

Mrs D Collins, Mrs A Grigg and C Whitbread

**Apologies for** 

Absence:

R Frankel, G Mohindra and R Morgan

Officers Present J Preston (Director of Planning and Economic Development), R Sharp

(Principal Accountant) and Z Folley (Democratic Services Assistant)

#### 1. SUBSTITUTE MEMBERS (COUNCIL MINUTE 39 - 23.7.02)

None reported.

#### 2. DECLARATIONS OF INTEREST

None reported.

#### 3. TERMS OF REFERENCE

The Panel were invited to draft a Terms of Reference for their review. The Panel received a set of guidance notes and a copy of the request which led to the setting up of the Panel.

The Chairman of the Panel invited each member to put forward their ideas for inclusion in the Terms of Reference.

#### (i) Aims of the Review

It was agreed that the overall aim of the review was to consider in detail the provision of Value for Money within the following Planning Services focusing specifically on:

- Development Control (including Appeals)
- Forward Planning
- Building Control
- Enforcement
- Administration and Customer Support
- Economic Development
- Environment Team

In relation to these matters, the Panel expressed a wish to:

(a) identify the scope of each of these areas and the duties/functions each perform;

# Provision of Value for Money within Planning Services Task and Finish Panel Tuesday, 23 October 2007

- (b) identify problems, possible solutions, barriers to success;
- (c) review the measures introduced since 2004 to improve performance within Development Control;
- (d) consider staffing levels, turnover, absence rates and whether they were sufficient:
- (e) consider the budgets available to Planning Services, information on costs and revenue, how they were managed:
- (f) identify customers/regular users views of the service.

#### (ii) Benchmarking:

The Panel expressed a wish to research best practice elsewhere ('Top Quartile' Services) with the goal of identifying ideas for improving performance against targets. The Panel requested that this focus on Authorities' outside Essex but of a similar size to Epping Forest. It was suggested that CIPFA/Performance Management Unit could provide this information.

#### (iii) IT Issues

The Panel expressed a wish to review the new Planning IT system and consult with the suppliers of the system. It was suggested that a presentation be arranged for the next meeting of the Panel on 3 December 2007.

#### (iv) Development Control

In relation to the Development Control Function, the Panel raised a number of areas for review as follows:

- (a) officer recommendations on applications scope for cases without recommendations where the arguments were 'finely balanced';
- (b) Eight Week Target– to review the need to change the delegation scheme to facilitate this:
- (c) to canvass Members of the Councils Planning Committee/Local Councillors to obtain feedback on the review; (through Council Bulletin, Forester Magazine);
- (d) Planning Application Fees scope for altering this, adding new items to the scheme:
- (f) Case Loads current cases, process for distributing cases. Was this process fair?

#### (vi) Policy Issues

Should the Council consider steps to amend its procedures to facilitate this review?

#### (v) Documents for the Next Meeting:

The Panel asked to receive the following documents:

# Provision of Value for Money within Planning Services Task and Finish Panel Tuesday, 23 October 2007

- (a) BVPI schedules summaries of latest schedules for Planning and Economic Development (to be submitted to Panel on a 'rolling basis')
- (b) Best Value Review of Planning Services Copy of the updated plan 2006/07– (Panel were happy with the format);
- (c) a copy of the recent Customer Services Presentation (Planning Services) J Preston to contact S Tautz about this.

#### **ACTION:**

The Panel agreed the attached Terms of Reference for submission to the OSC on 8 November 2007.

#### 4. WORK PROGRAMME

The Panel agreed the attached work plan for its next meeting on 3 December 2007.

#### 5. ANY OTHER BUSINESS

The East of England Plan was about to go out for further consultation to take into account environmental assessments. The consultation was to last for 8 weeks and would be brought to this Panel.

#### 6. DATE OF NEXT MEETING

3 December 2007 at 7.30 pm in CR1.

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#### **Revised Terms of Reference**

To consider in detail the provision of Value for Money within the following Planning Services focusing specifically on:

- Development Control (including Appeals)
- Forward Planning
- Building Control
- Enforcement
- Administration and Customer Support
- Economic Development
- Environment Team

To gather evidence and information in relation to these functions through the receipt of:

- performance monitoring documents,
- Best Value Review of Planning Services (updated version)
- benchmarking exercises,
- consultation with Planning Committee Members, customers and IT Suppliers.

To identify problems, possible solutions, barriers to success;

To review the measures introduced since 2004 to improve performance within Development Control namely the success of

- the 'Hit Squad',
- the Service restructure,
- the new IT system
- the application of the Planning Delivery Grant.

To consider whether the reporting arrangements for all of the above matters and those for the Section 106s, appeals are sufficient and recommendation accordingly.

To evaluate all relevant facts in relation to the topics under review in an objective way and to produce recommendations for future action accordingly;

To establish whether there are any resource implications arising out of the topics under review and advise Cabinet for inclusion in the Budget Process 2008/09;

To report to the Overview and Scrutiny Committee at appropriate intervals and to submit a final report by March 2008.

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PROVISION OF VALUE FOR MONEY IN PLANNING SERVICES TASK AND FINISH PANEL: WORK PROGRAMME

Proposed Date	ltem	Current Position
3 December 2007	(a)To review the new IT system and consult with the suppliers	
	(b) BVPI schedules – to receive the latest schedules for Planning	
	(c)Best Value Review of Planning Services - Copy of the updated plan- (2006/07)	
	(d) copy of the recent Customer Services Presentation (Planning Services)	
	(e) Consultation with Planning Committee Members – arrangements for this	

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# Development Control Best Value Review

(October 2001)

# **2007 UPDATE**

(November 2007)

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#### **PROFILE**

#### 1. Profile of the District

#### Setting

- 1.1 Epping Forest district is situated within the Metropolitan Green Belt, abutting the north-east edge of London, in the south west corner of Essex. It comprises the towns of Loughton/Buckhurst Hill (36,500), Waltham Abbey (16,000), Chigwell (12,000), Epping (10,000) and Chipping Ongar (6,000) together with villages, the largest of which are Theydon Bois, North Weald Bassett, Roydon and Nazeing. Many of the towns and villages are historic but those close to London grew rapidly as commuter towns. This was particularly in connection with the coming of what is now the Central line of London Underground.
- 1.2 The District has an important position in the national motorway network. The M11 runs north-south almost through the centre of the District with local road connections at Hastingwood (just south of Harlow) and Loughton (only for south-bound traffic). The M25 crosses the District east-west with a local road junction at Waltham Abbey and an interchange with the M11. The Central Line of the London Underground has stations at Buckhurst Hill, Loughton, Debden, Theydon Bois and Epping. Roydon is the only British Rail station in the district on the line between Liverpool Street and Cambridge.
- 1.3 With the exception of the towns and larger villages the District is entirely within the Metropolitan Green Belt. The consistent application of Green belt policies has meant that some 90% of the district's 130 square miles is still open and undeveloped comprising generally attractive countryside.

#### **Population Structure**

- 1.4 The district's population was 116,000 in 1991, having remained relatively static since 1981, but had risen by 2001 to an estimated 122,000, and by 2007 was estimated to be 123,000. The age of the population is high for the county average (with a below average number of 0-15 and 16-29 year olds and an above average number of 49-59 and 60-74 year olds).
- 1.5 The age structure of the population is changing, in line with the county trend, in that during the 1980s there has been a marked reduction in the numbers of 0-15 year olds in the district in combination with a marked increase in the number of elderly (75+). This is assumed to result from a falling birth-rate in combination with people having an increased life-expectancy. The high cost of local house prices (as a consequence of the attractiveness of the District and its proximity to London) also has a bearing upon the changing age structure by obliging many young people to move elsewhere in search of cheaper accommodation.
- 1.6 Average household size has been in sharp decline in line with that of the county as a whole. This decline stems from a combination of social and economic reasons including an increase in single-person households, young couples moving away, a fall in the birth-rate and people living longer.

#### **Socio-Economic Characteristics**

- 1.7 In general terms, Epping Forest District is a prosperous area. During the late 1980s incomes were 30% above the national average and the number of high earners was twice the national average. This is a reflection of the district's accessibility to Central London and its attractiveness as a place to live. This itself is reflected in the fact that an above average proportion of the workforce is in professional/management/technical occupations with most of these people commuting to work. By contrast the district has a lower than average proportion of semi and unskilled workers, compared to the county as a whole, but an average proportion of skilled workers.
- 1.8 The relative affluence of the district's population in general is also reflected in the high level of car ownership. Table (a) shows the extent to which car ownership has grown in the district during the 1980s and how this compares with the average county figure. Table (b) indicates the proportion of households who have more than one car (and this has no doubt risen since 1991).

#### **Car Ownership**

#### a) Proportion of households with car(s)

	1981	1991	2001
Epping Forest District	75%	80%	
Essex	70%	75%	

#### b) Proportion of households with more than one car

	1981	1991	2001
Epping Forest District	29%	38%	
Essex	21%	30%	

1.9 Whilst this gives the impression that the district is relatively prosperous this does not apply across the whole of its area. There are still significant parts of the urban areas that cannot be described as prosperous although the deprivation they experience is very much less than that in the major conurbations.

#### The Countryside

1.10 The west and south of the district are characterised by gently rolling countryside dissected by river valleys. The main topographical features

are the Epping Long Green and Epping Forest ridges, running generally south-west to north-east and separated by the Cobbins Brook valley. The Lee Valley forms much of the western boundary of the district. The east and north are dominated by the broad valleys of Cripsey Brook and the upper reaches of the River Roding. Generally, the land there is flatter and more open.

- 1.11 Arable agriculture is the main rural land use and this has been more intensive in the north and east. The consequent loss of many hedgerows and trees has added to the 'openness' of the countryside. Horse-keeping is quite an important land use in the south and the Lee Valley still supports glasshouse horticulture. There is only a small amount of pasture.
- 1.12 The district includes numerous small woodlands which greatly enhance the character and wildlife interest of the countryside. Many of these are ancient although Epping Forest, owned and managed by the Corporation of London, is easily the most significant remnant of the original Forest of Essex.

#### The Importance of the Local Environment

- 1.13 The quality of the local environment, the continuing decline in average household size and the closeness and accessibility to London mean that the district is always under pressure for residential, industrial and commercial development. Opportunities to satisfy these demands are necessarily limited by the requirements of Green Belt policy.
- 1.14 Conservation of the local environment, which includes management and enhancement, is a particular and continuing priority for the Council. This has resulted in:-
  - (i) strict adherence to the objectives of Green Belt policy;
  - (ii) the establishment of the Countrycare project as a full-time service (to carry out small-scale countryside management projects throughout the district);
  - (iii) the designation of 25 Conservation Areas and the introduction of a Partnership Scheme;
  - (iv) the implementation of Town Schemes in Waltham Abbey and Ongar;
  - (v) a continuing budget to grant-aid repairs to some of the district's numerous Listed Buildings; and
  - (vi) the establishment of effective policies and procedures for tree protection and management.

#### 2. Structure of the Council

**Political Structure** 

- 2.1 The Council is made up of 58 Councillors representing the 30 wards of the district. The Councillors belong to six political groups, one of which has had overall control since 2006.
- 2.2 The Council's decision-making structure has recently changed in accordance with the Local Government Act 2000. A leader and 'cabinet' has been introduced forming an executive for all policy decisions, with 3 Scrutiny Committees and a Standards Committee. Planning functions fall within the remit of the portfolio holder for Economic Development and Planning. Most development control decisions, however, are taken outside of the executive, by the District Development Control Committee and by 3 Area Plans Sub-Committees.

#### **Service Areas**

2.3 The Council has developed a structure that consists of a Management Board made up of the Chief Executive, Deputy Chief Executive and Assistant to the Chief Executive. Additionally, five Directors have specific responsibility for the Directorates:

Finance & ICT
Corporate Support
Housing
Environment and Street Scene,
Planning and Economic Development

#### **Planning**

- 2.4 The Directorate of Planning is managed under three Assistant Heads of Service. One group consists of Forward Planning and Environment and Countrycare; a second is made up of Building Control, whilst the third is Development Control. A directorate-wide Admin team is led by the Service Business Manager.
- 2.5 The three service groups share accommodation off the same corridor on the second floor of the Civic Offices. This enables close staff liaison between the groups and aids an understanding of the role of each group. This integration is further supported by regular meetings of the Directorate Management Team comprising the Director and the three Assistant Head.
- 2.6 Development Control also utilises accommodation on the first floor of the building for the storage of its property files.

#### **Customer Contact Team**

2.7 The Directorate of Planning (and that of Environment) has its own reception facilities on the second floor. This is staffed by dedicated

receptionists forming part of the Customer Contact Team. They are trained in understanding the needs of callers and the roles of the various elements of the Service Areas. The reception area is well-lit and well decorated, and has benched seating for waiting customers. Information leaflets are available covering a wide spread of topics. An area for displaying information regarding the activities of Planning Services is also utilised.

- 2.8 The reception area is also supported by four general purpose meeting rooms with tables and chairs that can be used for meetings, private conversations, laying out plans for inspection, etc.
- 2.9 The Planning Customer Contact Team is a new team created in 2006 and has been developing its role since then, providing the first point of contact for members of the public who have general queries in relation to planning matters, including screening building regulation queries.
- 2.10 The members of the team have had to absorb an understanding of wideranging data and procedures over a relatively short period. There was a
  period of concern when the public could not always readily speak to a
  planning officer in person, when there was much reliance upon voicemail
  and when priority could not be given to answering general queries. The
  formation of this team has significantly impacted upon the performance of
  the service area by providing an improvement in the availability of officers
  to answer telephone queries or at reception, and thus a reduction in
  reliance upon voicemail messaging and waiting for return calls. If a
  message has been left, it is often returned within an hour or two rather than
  24 hours later.
- 2.11 The team's work has also enabled professional case officers to be released from dealing with more general queries and technical functions that have been transferred to the Contact Team, assisting improved performance by the applications processing teams. The performance of this team has been recognised by the specific compliments that have been received commenting on the reception service that forms part of the Customer Contact Team. The performance was also recognised in last year's Customer Satisfaction Survey which saw an overall satisfaction rating of 82% (the highest in Essex), and the rating of 74% in relation to satisfaction for "advice and help to submit an application". This represented an improvement of 28% since the last survey 3 years previously, much of which can be attributed to the work of this team.

#### **Development Control**

- 2.12 Development Control is the implementation arm of Planning Services that controls and regulates development in line with the objectives of development plan policy. It has three main areas: the determination of planning applications and other forms of development proposals; the enforcement of planning control; and the handling of appeals against the Council's decisions.
- 2.13 The service is largely a statutory activity prescribed by the Town and Country Planning Act 1990 (as amended) and by a number of regulations and statutory instruments. A local authority must provide a development control service including the enforcement of control, even though

enforcement powers are to be operated by discretion on a case-by-case basis.

2.14 The service is operated for the benefit of the entire population of the district; though more directly, the customers of the service are those who make planning applications or object to them and who submit appeals, and those who make a complaint about a breach of planning control. These direct customers have been growing in number over recent years. The Council has no control, of course, over the numbers or type, and the service has to react to the customer base and nature/complexity of the casework.

	1997- 1998	1998- 1999	1999- 2000	2000- 2001	2001- 2002	2002- 2003	2003- 2004	2004- 2005	2005- 2006	2006- 2007
Planning applications received:	1622	1745	1866	1908	1989	2115	2252	2086	1962	2033
Planning & enforcement appeals received:	115	142	149	150	116	145	145	94	105	143
Enforcement complaints received	602	614	620	646	603	650	843	855	653	783

#### **Staffing**

- 2.15 Development Control has an establishment of 18 posts. The establishment is supplemented by casual and agency assistance from time to time, and by consultants primarily working on appeals. The service is headed by an Assistant Head of Planning Services who has 11 professional officers, 5 enforcement officers and 1 administrative officer. A service structure appears on the next page.
- 2.16 For some large development projects, officers of the Forward Planning & Environment group used to supplement development control staff to handle the planning applications and any subsequent approval of reserved matters required by condition. In recent years, however, this practice has ceased.
- 2.17 The staff are very committed to the service offered. The professional officers are well qualified, both academically and through experience, to provide a service that seeks to meet the needs of the customers, the expectations of the Council and the targets of central Government. Training needs are identified for all staff through Staff Development Reviews, and training opportunities are identified and promoted to meet the needs of the work and of continuous professional development of the Royal Town Planning Institute.

#### **Corporate Role**

2.18 By the nature of the development control function, it cannot operate effectively in a vacuum and consequently a great deal of consultation and

interaction occurs between development control officers and other service areas of the Council. Good relationships have been nurtured with officers in Environmental Services, Legal and Housing, leading to a greater understanding of the activities and objectives of the other service areas.

2.19 Officers of the development control team have been members of various corporate working groups and teams, playing a role in the function of the Council as a whole.

#### 2. Aims and Objectives

This section of the 2001 Best Value Service Plan has been superseded almost in its entirety. The revised aims and objectives of the Council Plan and the role of

Planning in achieving those aims can be found in full in Planning Services Business Plan 2007-8 (pp6 & 7). Furthermore, none of the BVPIs listed in this section are reported in these ways any longer. The current BVPIs are reported later.

3.1 There are many sources from which the service derives its aims and objectives.

#### **Community Plan**

- 3.2 The Council's Community Plan 2000-2005 identifies and promotes the Council's key strategies, setting out the Council's commitment to developing and improving its services. The strategies are set out within cross-service themes. Planning Services, and Development Control in particular, comes under the 'Economic Development and Planning' theme. Appendix 1 reproduces the section relating to this theme and emphasises encouraging prosperity, encouraging public participation in the planning process, defending the Green Belt whilst providing for local development needs and securing benefits to the local community from development.
- 3.3 It is specifically noted as an aim that the Council will strive to continually improve the delivery of our regulatory services.
- 3.4 Whilst the 'Economic Development and Planning' theme is the most applicable to the service, the activities of the service also relate to other themes.
- 3.5 The 'People First' theme includes a social inclusion strategy to which development control can contribute; and the maintenance of Area Committees for determining planning applications advances the accessibility strategy.
- 3.6 The 'Community Well-Being' theme includes a strategy against crime, and development control can contribute in terms of planning-out crime in the very early stages of development.
- 3.7 'Protecting Our Environment' relates to the countryside and town centres, and development control can be the implementation arm of strategies such as these. The use of legal agreements under Section 106 of the Town and Country Planning Act can promote these aims.
- 3.8 Furthermore, the provision of 'affordable' housing for rent, which is secured in appropriate cases within private residential developments, is part of the Meeting Housing Needs strategy within the 'Housing' theme.
- 3.9 Consequently, the Community Plan contains many aims and objectives in which development control is involved.

#### **Performance Plan**

- 3.10 Deriving from the Community Plan, the Performance Plan sets out more focussed aims for 2001-02 and on a yearly basis. Specifically related to development control are the aims of:
  - improve the time we take to process planning applications; and
  - encourage people to use their cars less by reviewing car parking standards.

- 3.11 The Performance Plan also sets out the national Best Value Performance Indicators for the service. The following relate to development control:
  - i) BV109 percentage of applications determined within 8 weeks.

We adopted a target for the year of 60% which clearly we did not achieve. The national average is around 62%. A target of 65% has been adopted for 2001/02.

	1998-1999	1999-2000	2000-2001
% of applications determined within 8 weeks	44	48	52

ii) BV110 – average time taken to determine all applications.

This is a new indicator which had not been measured before 2000/01.

1<sup>st</sup> Quarter 12 weeks 2<sup>nd</sup> Quarter 11 weeks 5 days 3<sup>rd</sup> Quarter 11 weeks 6 days 4<sup>th</sup> Quarter 9 weeks 5 days

iii) BV111 – percentage of applicants satisfied with the service received. This, too, is a new indicator not previously measured in the way now stipulated by central government. The results of the survey carried out for the first two quarters of 2000/01 indicated 72% were fairly or very satisfied with the service they received, and in the third quarter this increased to 82%. Just 13% expressed dissatisfaction.

[Comparisons for these last two indicators are not yet available since they are new indicators. However, a county-wide survey in 2000 recording customer satisfaction with planning functions placed Epping Forest above all other participating district councils].

iv) BV112 is a checklist of 10 best practice points, 5 of which (numbered 4-8) are directly related to Development Control:

4.	Do you provide pre-application discussions?	Yes
5.	Do you have a publicised charter?	No
6.	Is the percentage of appeals overturned less than 40%	Voo
7		Yes
7.	Does the authority delegate 70% of more decisions to officers?	Yes
8.	Have you avoided planning costs awarded against you, adverse ombudsman findings	

No

or court findings?

[In relation to point 6 above, the percentage of appeals dismissed is an indicator of logical decision-making at application stage, and of robust defence of the Council's decision.

	1998-1999	1999-2000	2000-2001
% Appeals dismissed	67	69	75
% Appeals overturned	33	31	25

The national average is around 67% (33% overturned)].

#### **Service Plan**

3.12 Deriving from the Community Plan and the Performance Plan is the individual service plan. This contains more specific targets within an Action Plan which is reproduced at appendix 2.

#### **Local Plan**

3.13 Separate from procedural and performance objectives are the objectives of the adopted Local Plan which provides a framework for the decisions within development control. The strategy is set out in terms of Objectives and Aims which is reproduced and attached as appendix 3.

#### 4. Performance: a 10 year Overview

## **Applications**

	1997/98	1998/99	1999/00	2000/01	2001/02	2002/03	2003/04	2004/05	2005/06	2006/07
Applications	1,622	1,745	1,866	1,908	1,989	2,115	2,252	2,086	1,962	2,033
received										
% decided in ta	rget – BV1	09 returns								
all	54%	44%	48%	52%	70%					
'major'						26%	48%	41%	54%	67%
'minor'						55%	57%	57%	71%	73%
'other'						78%	79%	77%	85%	90%
% decided										
under	67%	69%	73%	75%	74%	75%	84%	86%	82%	89%
delegated										
powers										
Establishment	7	8.5	8.5	9.5	9.5	9.5	9.5	10.5	10.5	10.5
case officers										

# **Enforcement**

	1997/98	1998/99	1999/00	2000/01	2001/02	2002/03	2003/04	2004/05	2005/06	2006/07
Complaints received	602	614	620	646	603	650	843	855	653	783
Complaints resolved		570	620	493	571	470	620	751	739	848
Enforcement notices served	32	55	41	43	44	19	18	33	21	18
PCNs served	2	55	209	31	24	13	16	7	32	26
BOCNs served	0	4	13	7	2	2	0	2	1	0
Injunctions sought	3	0	0	3	4	4	1	2	0	0
Establishment officers	3.5	3.5	3.5	3.5	4.5	4.5	5	5	5	5

## <u>Appeals</u>

	1997/98	1998/99	1999/00	2000/01	2001/02	2002/03	2003/04	2004/05	2005/06	2006/07
Appeals received	115	142	149	150	116	145	145	94	105	143
% of appeals allowed (BV204)		33%	31%	25%	24%	27%	18%	29%	22%	30%
Staff numbers		Th	ere are no	staff solely	dedicated t	o appeals				

# BV111 - Customer Satisfaction Survey

	2000/01	2003/04	2006/07
Overall satisfaction with the service	75%	71%	82%

#### 5. <u>Performance: April – September 2007</u>

Planning applications received	1,210
% in target – 'Major'	80%
'Minor'	79%
'Other'	89%
% decided by delegated powers	90%
Staff numbers	10.5
Enforcement complaints received	394
complaints resolved	330
Enforcement notices served	17
PCNs served	31
Breach of Condition notices served	0
Injunctions sought	0
Staff numbers	5
Appeals received	55
% allowed	20%

#### **Current Staffing**

PDC/01	ASST HEAD OF PLANNING	B. LAND	1.00
PDC/02	PRINC PLANNING OFFICER	N. RICHARDSON	1.00
PDC/03	SENIOR PLANNING OFFICER	J.GODDEN	1.00
PDC/04	PLANNING OFFICER	G.COURTNEY	1.00
PDC/05	PLANNING OFFICER	P.ONYIA	1.00
PDC/06	PRINC PLANNING OFFICER	A.SEBBINGER	1.00
PDC/07	SENIOR PLANNING OFFICER	J.SHINGLER	1.00
PDC/08	SENIOR PLANNING OFFICER	K.SMITH	1.00
PDC/09	PRINC PLANNING OFFICER	S.SOLON	1.00
PDC/10	SENIOR ENFORCEMENT OFFICER	C.MUNDAY	1.00
PDC/11	ENFORCEMENT OFFICER	S HART	1.00
PDC/18	ADMIN ASSISTANT	T.FORECAST	1.00
PDC/19	PLANNING OFFICER	J.EVANS	1.00
PDC/21	PLANNING OFFICER	TEMP/VACANT	1.00
PDC/23	ENFORCEMENT OFFICER	D ANDREW	1.00
PDC/24	COMPLIANCE OFFICER	D WALMSLEY	0.56
PDC/25	SENIOR PLANNING OFFICER	K.WATERS	1.00
PDC/26	ENFORCEMENT OFFICER	D.H.THOMPSON	1.00

17.56

#### 6. Further Detail

#### a) Workloads

#### The 2001 Review stated:

- 4.1 The application workload remained fairly static during the early 1990's at around 1,450 applications per year but since 1997 the workload has increased dramatically and continuously. The table indicates the number of applications received in each year from 1996/97 to 2000/01 and over this 5 year period the planning application workload has increased by 33%.
- 4.2 The most widely used measure of performance and the statistic upon which this service has been declared as failing, is the proportion of applications determined within 8 weeks. This showed a sharp decline as the workload increased, but with some small improvement from 1999.
- 4.3 The enforcement workload has also risen over this 5 year period. This represents an enforcement workload increase of some 12%.
- 4.4 Performance in relation to enforcement is difficult to judge. The Council has no performance measures for this aspect of the service and there is no national BVPI. The Council's administration of the service is not computerised and consequently it is difficult to collate and compare statistical data. However, it is possible to record the number of cases that have been finally resolved in recent years and the number of formal notices that have been served.
- 4.5 The appeal workload at Epping Forest is relatively high. About 1 in every 4 refusals of planning permission leads on to an appeal and 1 in 2 enforcement notices is also the subject of an appeal. In recent years the total number of appeals has arisen with other workloads.
- 4.6 Part of Best Value Performance Indicator BV112 is to look at the percentage of appeals overturned, and the Government has expected that percentage to be less than 40%. This is a useful reflection of logical decision-making and of robust defence of the Council's decisions.

- 6.1 The planning application workload has continued to increase 6.5% increase over the 2000/01 total and altogether a 40% increase over the base (1,450) used in the 2001 review. However, it can be seen that the workload reached a peak in 2003/04 and then fell slightly in 2004/05 and again in 2005/06, rising again last year. It must be noted however that the 6-month figure for the current year significantly exceeds the figures for last year such that a full year total in excess of 2,400 is expected. This would be larger than the 2003/04 peak exceeding the 2000/01 status by over 25%.
- 6.2 The means of measuring application performance changed in 2002/03 when the returns were split into the 3 separate categories identified in the table above. This coincided with the Government publishing targets for authorities to achieve of 'Major'– 60%, 'Minor'– 65%, and 'Other'– 80%. These were very challenging targets in the first instance coinciding with the significant increase in the workloads. However, by the fourth year (2005/06) two of the three government targets were being met and by the fifth year (2006/07) all three were met.

- 6.3 However, the Council aspires to be within the top quartile of performing authorities, and we fell short in two of the three categories: Major- 67.25% rather than 71.25% and Minor- 72.96% rather than 75.33%. The five year journey from 2002/03 to the present performance is however noteworthy, though the top quartile measures are rising all the time.
- 6.4 The enforcement workload has also risen. Significant increases in the number of alleged breaches of control reported occurred in 2003/04 and 2004/05, falling the following year but recovering last. This level of workload is likely to be repeated for the current year.
- 6.5 The appeal workload has remained fairly constant since the time of the last review, with the exception of the two years of lower activity generally. Performance, though variable for reasons well known to members, has remained better than the national average (still at about 33%).

#### **Procedures**

#### The 2001 Review stated:

- 4.7 The development control service at Epping Forest has operated without written procedures. This has proved possible due to a long-serving core of staff who have undertaken training of new recruits. However, it has meant that there are some inconsistencies within the teams and there has been no systematic review of procedures.
- 4.8 In year 2001 some documenting of procedures began, coupled with a simple analysis of the way in which matters were handled. Early on, it became clear that some streamlining of registration procedures would aid performance and a tightening of procedures was put in place. See appendix 4, which sets out a Process Map relating to planning applications prepared at the time. Work on producing a procedures manual is ongoing.

- 6.6 There now exists a full set of Procedure Notes for the entire range of planning application activities. These are under constant review triggered by changing legislation, improved practices and lessons learnt from complaints, Ombudsman recommendations or staff suggestions.
- 6.7 There have been three major triggers for revising procedures in recent years:
- (a) The first was the adoption of a vastly improved ICT package for application processing, retrieval of information and management of planning histories. The change from Plantech to Northgate M3 in September 2005 provided a clear opportunity to review procedures, practices and workflow, so that the maximum advantage could be gained from the change. We now have a system fully operational that has saved time in application processing, improved reporting for senior officers and members, enhanced access to information at officers desktops, improved access to planning records through the Council's website and with less risk of inaccuracy.
- (b) The second has been the organisational restructure of Planning Services carried out in 2004 and 2005.
- (c) The third has been the introduction of <a href="mailto:Anite@Work">Anite@Work</a> a document management system that scans all incoming post and allocates to officers electronically,

reducing the reliance upon paper within the offices and changing working practices significantly.

#### **Decision-Making**

#### The 2001 Review stated:

- 4.9 The Council for many years has granted delegated powers to the Head of Planning Services to determine a proportion of planning applications. The Council adopted the current delegation agreement in December 1997. This is attached at appendix 5.
- 4.10 The remainder of the decisions were taken at one of the four Area Plans sub committees (with a few contentious matters being determined by the Development Committee now replaced by the District Development Control Committee).
- 4.11 Each Area sub committee meets once every four weeks and the preparation and lead-in period adds an average 2.5 weeks to the 25% of applications they take decisions on. During 2000/01, of those applications determined at committee only 9% were cleared within 8 weeks, as opposed to this years target of 65% and the Governments aim of 80%. During the same period 67% of delegated decisions were within 8 weeks.
- 4.12 Clearly some applications referred to committee are very contentious or justify the considered decision of elected members, but some applications are for minor developments that would be determined under delegated powers if it were not for the receipt of objections. A high percentage of those referred to committee for that reason were the subject of only one objection.
- 4.13 This suggests that performance could be improved by more frequent committee meetings or a reduction in the number of area committees; and/or by revisiting the delegation agreement.
- 4.14 It is appreciated that this brings into conflict issues of improved performance versus quality of democratic accountability, for it is only from May 1999 that the Council has operated an Area committee format with public-speaking.

- 6.8 As suggested above, the delegation agreement was revisited in December 2002 and this lead to an increase the proportion of applications determined under delegated powers. Still the more contentious applications are determined by members at committee and those which have raised significant public interest. There are still however some very simple matters that are fall outside of delegated powers and a report suggesting some minor tweaks to the agreement is to be considered first by the Standing Orders Working Party.
- 6.9 Members will be aware that the number of Area Committees were reduced from 4 to 3 in February 2007 but not with any change in the 4 week cycle of meetings. The reduction in number was for reasons other than a means of improving performance. However, performance can be further improved by meeting on a 3 week cycle and this suggestion is to be considered first by the Constitutional Affairs Panel on 20 November 2007.

#### **Staffing**

#### The 2001 Review stated:

- 4.15 Of the 23 staff that comprise the development control service, 9 posts are dedicated to handling planning applications, appeals and associated work, with a few applications handled by the Technical Support Officer, the team leader responsible for enforcement and special projects and by the Assistant Head of Service himself. This equates to about 9.5 FTE.
- 4.16 The Council carried out benchmarking of staff resources within its family of authorities and the better-performing Essex authorities for the calendar year 1998 when this Council had 7 members of staff dedicated to handling planning applications. For that year this equated to 215 applications per case officer, which was the highest within the benchmarking group.
- 4.17 For the year 1998/99 the Council increased its staff resources in this field to 8.5 but the increased workload still resulted in an average of 205 applications per case officer.
- 4.18 The following year, 1999/2000, this had increased to 220 per staff member, but once again additional resources enabled the workload for the year 2000/01 to equate to an average of 201 applications per staff member.

	1998	1998-	1999-	2000-
		1999	2000	2001
Staff	7	8.5	8.5	9.5
Applications	1509	1745	1866	1908
Average	215	205	220	201

- 4.19 Development Control has a team of administration and technical support equivalent to 6.5 FTE posts. One is dedicated to appeals, 0.5 to enforcement and 0.5 provides technical support to development control work. 4.5 posts are therefore dedicated to supporting the administration of the planning application system. Until the end of year 2000 this was only 3.5 posts but was boosted by additionally funding an additional post.
- 4.20 Additional funding from April 2001 has also enabled the enforcement officer team to be expanded from 3 officers to 4.
- 4.21 The budgets for development control also allow for the employment of consultants and agency staff. The managers of the service try to react quickly to peaks in workload and to longer-term staff absences by employing agency assistance largely to handle planning applications. Planning consultants are used mainly for planning appeal work when general workload pressures preclude officers of the team from taking on appeals and also to handle appeals that result from officer recommendations that are overturned by committee decision.

#### The 2007 Update:

6.10 Members will have noted that the Development Control Team now consists of 18 establishment posts – administrative support staff have been formed into their own

team such that none now lie within the DC Team (apart from one dedicated Enforcement administrative officer).

At the time of the 2001 Review there were a number of vacancies within the team and recruitment and retention were very important issues. Despite the national shortage of planners and competition from higher salaries available in London, the team has enjoyed a full establishment for much of the last 5 years. Two senior staff members retired in 2006, removing a combined total of over 60 man-years of knowledge and experience from the team, and it took time to fill the vacancies that produced, but otherwise the team consists of committed and dedicated staff with many years experience between them.

	Length of Service
Officer A	17 years
Officer B	16 years
Officer C	9 years
Officer D	8 years – 5 yrs in present role
Officer E	6.5 years
Officer F	6 years
Officer G	5 years
Officer H	5 years
Officer I	4.5 years – 3 yrs in present role
Officer J	4 years
Officer K	3.5 years – 2.5 yrs in present role
Officer L	3.5 years
Officer M	2.5 years
Officer N	1.5 years
Officer O	1 year
Officer P	1 year
Officer Q	1 year

- 6.12 It will be recognised that one post has remained vacant. This was a deliberate decision not to fill this post in the normal way since we were able to recruit additional assistance from consultants working from home on a part time basis which provided better value for money and could be paid from the savings from the vacant post. This enabled two consultants to be employed on a part-time, working from home basis handling simple, householder applications.
- 6.13 In addition, development control has had, for many years, a budget to employ consultants to handle some planning appeals. These are appeals that would either require a vast amount of staff time to prepare and present, which in-house resources could not cover; or appeals which the establishment officers would find difficult to take for professional reasons, for example, cases that had been negotiated and supported by officers but which could not be supported by members at committee.
- 6.14 The following table is similar to that appearing in the 2001 Review paragraph 4.18 above, and provides an average number of applications per establishment post case officers in recent years (including the 2 part-time consultants counted as one man):

	2001/02	2002/03	2003/04	2004/05	2005/06	2006/07	2007/08 (est)
Staff	9.5	9.5	9.5	10.5	10.5	10.5	10.5
Applications	1,989	2,115	2,252	2,086	1,962	2,033	2,400

Average	209	222	237	199	187	194	229
Avolugo	200		201	100	101	107	220

This is against a background where the Government advises, as a result of various studies, that the targets for handling all applications cannot be satisfactorily achieved **unless the average number of cases per case officer is in the order of 150.** 

- 6.15 The experience of this authority is that the averages displayed in the above table are too high if the Governments targets are to be consistently met and far too high if the top quartile targets are to be achieved. The Panel will recall that it was in 2005/06 budget, after years of continuous rises in application numbers and of average cases per officer well over 200, that the Council provided £100,000 to spend on additional staff resources (known as the 'Hit Squad') to deal with a backlog of applications that had built up and to significantly improve the performance figures. The first member of the squad was appointed in August 2005 with the view to employing 4 members for about 9 months. However, since members came and went with regularity and it was rare that 4 people were in post at any one time, the budget lasted until late 2006 when the final member, Subash Jain, left.
- 6.16 It is difficult to define 'backlog' in development control terms, but the measure we have been using is to record the proportion of applications outstanding at the end of any given period that are already beyond their target date. The following table records the effectiveness of the team during the 'Hit Squad' period:

Quarter beginning:	Total on hand at end of month	Total already past target date	Proportion
October 2005	322	106	33%
January 2006	270	83	31%
April 2006	271	42	15%
July 2006	333	47	14%
October 2006	269	47	17%
January 2007	276	47	17%
April 2007	352	47	13%
July 2007	309	38	12%
October 2007	321	33	10%

These figures, together with the significant improvement in performance, illustrate the considerable impact the budget provision made at that time.

- 6.17 However, this has only been possible with the further contribution to the budget of Planning Delivery Grant, which has enabled further agency and consultant resource to be bought in to further improve performance.
- 6.18 Since the last of the Hit Squad members left the Council at the end of 2006, we have been able to secure the employment of a local, qualified, senior planner to handle a planning application caseload who has been with the authority since early summer 2006 paid for out of Planning Delivery Grant allocation. However, this staff resource costs the Council about £50,000 in a full year, which is more than the full cost of a senior planner on the establishment.
- 6.19 Even should the establishment be increased by this senior planner to 11.5 case officers, this would still represent an average caseload of over 200 cases per officer at this year's estimated total still significantly above the Government's recommendation and yet at a level at which Officers consider performance can be successfully managed.

#### 7. Cost Analysis for Development Control

#### The 2001 Review stated:

#### Introduction

- a) As part of this review it is necessary to analyse the service's costs in order that assessment can be made as to whether costs are any cause for concern. All figures used are taken from 2001-2002 budget for the services concerned (with revised estimates for Income derived from October 2001 review). An appendix of the services direct costs is attached (Appendix 6).
- b) The report analyses information over a three year period where figures are available to highlight trends in cost differences.
- c) The report is broken down into three areas: Key Information, Evaluation of Information, and Key Findings. Key information and Evaluation of Information will concentrate on highlighting the details of costs within the budget and transferring them into data that can be measured. Key Findings highlights particular issues discovered during the analysis and actions that need to be undertaken as a result of this.

#### **Key Information**

	1999- 2000	2000- 2001	2001- 2002
DC net budget £	429,300	577,230	512,050
DC total expenditure for year £	736,800	875,930	937,280
Expenditure on third party payments £	451,980	518,750	600,700
Support Services contribution to DC £	249,460	266,230	286,240
Managerial & Professional contribution to DC £	154,020	168,770	212,990
Supplies & Services contribution to DC £	35,360	90,950	49,890
DC Income	301,500	363,000	360,000

- d) There are 23 direct positions working within development control with a total cost of £501,710. These posts are set out in an organisational structure on page 11.
- e) In the current year Development Control estimates to process about 1900 planning applications compared to 1908 in 2000/01 and 1866 in 1999/2000.

#### **Evaluation of Information**

	2000-2001	2001-2002
% gross cost of service provision for DC	Up by 19% since 1999-2000	Up by 7% since 2000- 1 Up by 27% since 1999-2000
% net cost of service provision for DC	Up by 19% since 1999-2000	Up by 13% since 2000-1 Up by 34% since 1999-2000
% staff costs for DC	Up by 21% since 1999-2000	Up by 5% since 2000- 1 Up by 34% since 1999-2000
Staff costs as % of DC total cost	47	53
Staff costs per application for DC £	217	255
% increase of DC income	18	
DC income to total cost percentage	40+	38
Application to income charges £	190	189
Average gross cost of application £	459	493

- 7.1 The Key Information table has been updated for the 5 years following the above chart plus adding information specifically about staff costs.
- 7.2 This is followed by an updated Evaluation Table. It will be noted however that the first 3 rows have been deleted since the analysis is not regarded as meaningful.

#### **Key Information**

	1999- 2000	2000- 2001	2001- 2002	2002- 2003	2003- 2004	2004- 2005	2005- 2006	2006- 2007
DC net budget £	429,300	577,230	512,050	337248	359541	681083	620898	506356
DC total expenditure for year £	736,800	875,930	937,280	766101	899633	1130199	1233611	1089652
Expenditure on third party payments £	451,980	518,750	600,700	617699	661070	736750	658285	740760
Support Services contribution to DC £	249,460	266,230	286,240	115196	132906	123572	128535	136557
Managerial & Professional contribution to DC £	154,020	168,770	212,990	41087	45780	56112	43040	50382
Supplies & Services contribution to DC £	35,360	90,950	49,890	33206	54052	191270	146751	196979
DC Income	301,500	363,000	360,000	428853	491092	378547	546713	535171
Staff FTE	Not Available	Not Available	Not Available	22.06	23.5	24.5	24.5	18
Staff costs inc Super & NI	Not Available	Not Available	Not Available	553000	640010	710290	713490	558540
Average Staff cost inc	Not Available	Not Available	Not Available	25070	27235	28991	29122	31030

#### **Evaluation of Information**

	1999- 2000	2000- 2001	2001- 2002	2002- 2003	2003 - 2004	2004- 2005	2005- 2006	2006- 2007
Staff costs as % of DC total cost	50	47	53	72	71	63	58	51
Staff costs per application for DC £	201	217	255	261	284	341	363	274

% increase of DC income		18	-1	19	15	-23	44	-2
DC income to total cost percentage	40+	40+	38	56	55	33	44	49
Application to income charges £	165	190	189	202	218	181	279	263
Average gross cost of application £	395	459	493	362	399	542	629	536

#### The 2001 Review stated:

#### Comparison

f) At this point, it would be useful to compare the costs of this authority with those of other authorities. A table comparing costs is included in the Compare Section of this report but it would be useful to reproduce it here.

#### COSTS

#### Source: CIPFA 2000/01 Estimates

Essex District Councils (for which returns are in source)	1000 pop.	Staff Costs per 1000 pop.	No. of Appeals (99/00)	•	Alleged breaches investigate (99/00)	Enforcement Costs per d 1000 pop
Epping Forest	0.29	£5,993	149	£1,628	687	£1,720
Braintree	0.18	£3,556	45	£860	593	£2,783
Chelmsford	0.28	£7,561	112	-	339	-
Colchester	0.28	£3,165	-	£313	-	£467
Harlow	0.14	£2,861	6	£506	6	£312
Maldon	0.36	£6,126	56	£922	742	£1,915
Rochford	-	-	23	-	249	-
Tendring	0.22	£3,512	80	-	869	£1,833
Uttlesford	0.47	£5,811	73	£823	220	£1,934
Audit Commission "Family"						
(for which returns are in source	)					
Braintree	0.18	£3,556	45	£860	593	£2,783
Reigate & Banstead	0.22	£5,339	107	£907	396	£1,514
Hertsmere	0.29	£5,928	55	£378	408	£1,337
Dacorum	0.31	£6,227	69	£708	220	£977
East Hampshire	0.42	£7,605	107	£925	493	£1,815
Tendring	0.22	£3,512	80	-	869	£1,833
Colchester	0.28	£3,165	-	£313	-	£467
Chelmsford	0.28	£7,561	112	-	339	-
Epping Forest	0.29	£5,993	149	£1,628	687	£1,720

- g) Firstly it can be seen from this comparison that staff numbers and staff costs vary considerably over the samples but, leaving aside Harlow, that as a new town has a different regime of controlling development, this authority sits well within the range of staff numbers 0.18 to 0.47 per 1000 population and the range of staff costs £3,556 to £7,605 per 1000 population.
- h) Furthermore, although the cost of the appeals service is high in itself and enforcement costs are at the higher end of the range (though by no means the highest), the unit costs bear comparison. Appeal costs appear high but this authority handles almost 50% more appeals than the other authorities quoted and the unit cost, i.e. the cost of each appeal per 1000 population is £10.9 the lowest for Essex authorities and not wildly different from the range in the Audit Commission "Family" £6.8 to £10.2.
- For enforcement, the unit cost of investigating one alleged breach of control per 1000 population is £2.50 for this authority within a range of £2.10 to £8.79.
- j) A similar exercise has been carried out dividing the net expenditure in development control (excluding appeals and enforcement) per 1000 population by the number of applications handled, using the same source. Excluding the highest and the lowest, this produced a range from £1.68 per application to £2.79. This authorities unit cost was £2.29.

#### **Key Findings**

- k) Over the past 3 years costs have risen by £200,430 or 27%. This compares with income increases of £60,000 or 19%. Inflation over the period was less than 6%.
- I) However, the greater proportion of this increase is staff costs. These have increased by 21% over the period which is less than gross or net costs but still above inflation. It is apparently disproportionate to increases in applications, but it reflects the increased establishment over this period with additional staff being employed reflecting the recognised shortfalls and responding to public expectations. An additional administrative post, enforcement officer and case officer positions have all been added to the establishment over the past three years. Staff numbers and staff costs do not differ widely from other comparator authorities.
- m) However, net costs over the period have risen by £147,930 or 34%. This highlights that the gap between income and costs is increasing. It is clear therefore that although staff costs are increasing, the fee income has not kept apace.
- n) Unit costs in handling planning applications, in enforcement and in appeals compare favourably with other similar authorities.

#### The 2007 Update:

7.3 The Costs Comparison Table has also been updated. However, the information is not so comprehensive, firstly because CIPFA no longer make the same requirements on Local Authorities to supply the information, and secondly the categories they now ask for have changed.

**COSTS** 

#### Source: CIPFA 2006 Statistics 2006/07 Estimated

Source. On 1 A 2000 Statistics 2000						
Faces Bistist Consults	Staff per 1000 pop.	Staff Costs per 1000	No. of Appeals	Appeal Costs per	Alleged breaches	Enforcement Costs per
Essex District Councils		pop.		1000 pop.	investigate	ed 1000 pop
(for which returns are in source)		£000s				
Epping Forest	0.51	19.157	105	Not	653	Not
Basildon				Available	9	Available
Braintree						
Brentwood						
Chelmsford	0.62	20.170	90		1028	
Colchester						
Harlow	0.35	13.846				
Maldon						
Rochford		12.718				
Tendring	0.24	12.052	70		682	
Uttlesford						
Audit Commission "Family"						
(for which returns are in source)						
Brentwood						
Broxbourne	0.26	7.769	49		313	
Chelmsford	0.62	20.170	90		1028	
Dacorum						
East Hampshire	0.54	18.819	101		544	
East Herts	0.45	14.939	94		600	
Hertsmere						
Mid Sussex	0.42	12.959	82		858	
North Herts						
Reigate & Banstead	0.45	17.314	144		575	
Sevenoaks						
South Oxfordshire						
Spelthorne						
Test Valley	0.64	20.277	58		537	
Three Rivers	0.30	12.678	107		728	
Tunbridge Wells	0.49	22.691	109		635	
Epping Forest	0.51	19.157	105		653	

- 7.4 Further analysis of these costs have not yet taken place prior to the Panels review of the information available.
- 7.5 However, it is worthy of note that in the Draft 2007 Use of Resources Auditor Judgements just published by the Audit Commission under the heading 'Value for Money' it states at page 15:

"Value for money has been improved in three major areas of expenditure: ... and development control......Investment has been made in Planning, which is showing improvement in performance."

### Part 2: The Four Cs

### **CONSULT**

### 1. Introduction

- 1.1 Within Development Control, consultation takes place everyday on planning applications and planning queries as part of its function for regulating and controlling new development. Officers and Councillors decide whether proposals for new development are acceptable or not, but this is after carrying out consultation with local residents and other internal and external bodies.
- 1.2 However, through Best Value, we must re-examine our consultation to make sure that we are providing a Development Control service that people want and need, which involves meaningful dialogue with local people and our stakeholders, including our own staff.
- 1.3 Over the last 18 months we have challenged our Service through specific consultation and have used the methods outlined below. Our previous methods involved a customer survey carried out in 1995, the results of which are attached as Appendix 7 and indicated that those who responded were generally pleased with the handling of planning applications and the advice from Officers.

### 2. Methods Used

### 2.1 Customer Satisfaction Survey

- 2.1.1 A questionnaire was posted to a sample of those who made a planning application and received a decision letter during the financial year 2000-01. Four sample windows were used, the first two were combined, each sample of persons was selected using a random sample. The questionnaire was based upon that specified by the DETR and Audit Commission in their April 2000 publication.
- 2.1.2 The first and second sample was sent to 338 different persons who received a planning decision between July and September 2000. The third sample was sent to 166 different persons who made applications between October and December 2000. The final fourth sample was sent to 166 different persons who made a planning application in the period of January to April 2001.
- 2.1.3 In total, there were 420 responses with an overall response rate of 63%. Attached in Appendix 8 is a summary of this Survey.

### 2.2 Development Control Challenge Day

2.2.1The Challenge Day was held on 4 July 2001 where numerous stakeholders were invited to attend and take part in a "challenge forum". These included a full spectrum of our customers including applicants/agents who submit planning applications and appeals; local residents, objectors, complainants and local action groups who are consulted on planning applications and appeals, invited Officers of the Council and Chairmen of the Planning Committees. This took place in the afternoon and was split in to two sessions; one on the theme of planning applications and the second on enforcing planning control and appeals against Council planning decisions.

# BV106 New Homes on Previously Developed Land

Additional Information This indicator measures the provision of new housing built on previously developed land in order to minimise development on green John Preston fields, as a percentage of all new dwellings. Responsible officer:

Chart - Current and previous four quarters	t and pr	evious fo	our quart	ers		Table - C	urrent ar	Table - Current and previous four quarters	is four q	uarters
L						Quarter	Target	Actual		Target Met?
8/20/20						02/01/8	89.67%	100.00%		`
8/20/10						01/07/8	89.67%	100.00%		>
2/90/ <del>10</del> 0/100/2						04/06/7	90.00%	95.65%		>
2/90/£0						03/06/7	90.00%	98.19%		>
02/06/7					<i>8</i> -	92/06/7	90.00%	98,00%		>
86	92	94	96	- 86	9	Final Figure 05/06	Final Figure 06/07	Target Met 06/07	Target 07/08	Target 08/09
Direction of improving performance:	<b>(</b>	Cumulativ	Cumulative data?: Yes	S e		98.65%	95.65%	Yes	89.67%	

Is it likely that the target will be met at the end of the year?

Yes

Comment on current performance:

ardet met

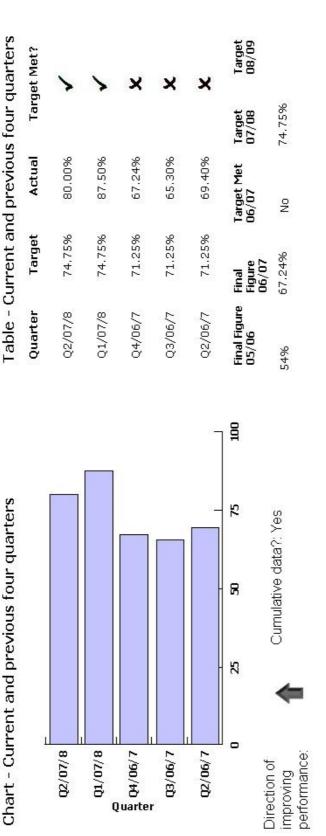
# Corrective action proposed (if required):

The Improvement Plan for this KPI for 2007/08 will be considered by Management Board during November 2007. Agreed improvement actions will be reflected here from Quarter 3 onwards.

## BV109a Planning Applications: Major Applications

John Preston Responsible officer:

Additional Information This indicator measures the extent to which major planning applications are determined in a timely manner, and is expressed as a percentage of all major applications.



Is it likely that the target will be met at the end of the year?

Uncertain

### Comment on current performance:

This figure exceeds the Government's target of 60% and the BVPP target of 74.75% (derived from top quartile performance).

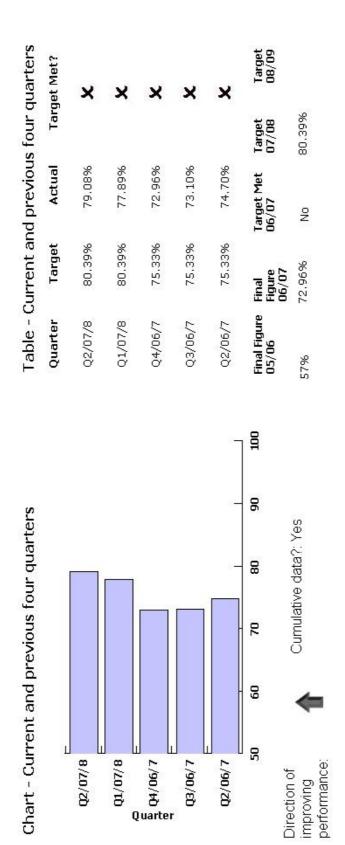
### Corrective action proposed (if required):

Efficiencies will be obtained from full implementation of ERDMS and scanning of hard files. and through staff training; maintaining a full establishment of staff; a reveiw of workloads and resources; a budget to enable employment of agency staff/consultants as needs arise.

## BV109b Planning Applications: Minor applications

Responsible officer: John Preston

Additional Information This indicator measures the extent to which minor planning applications are determinded in a timely manner, and is expressed as a percentage of all minor applications.



Uncertain Is it likely that the target will be met at the end of the year?

### Comment on current performance:

This exceeds the Government target (65%) but is just below the very challenging, BVPP top quartile target (80.39%). Continuing an upward trend, however

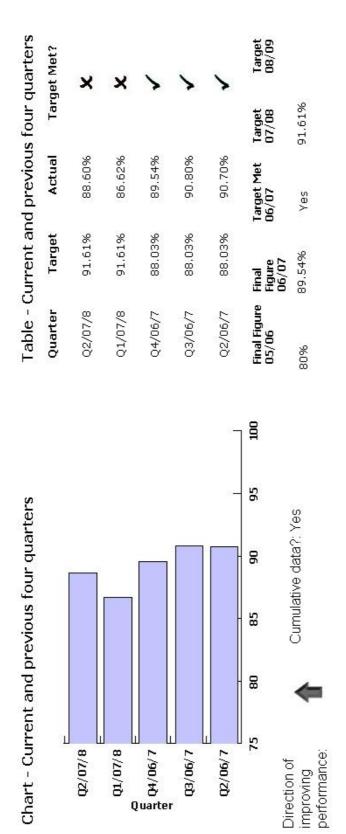
### Corrective action proposed (if required):

Efficiency gains will result from the implementation of the ERDMS; Scanning of hard files.; Business Process Review work following introduction of '1APP' national application forms; a review of workloads and resources to be undertaken by the Value for Money Scrutiny Panel; essential maintainance of a full establisment of staff; a budget to enable employment of agency staff/consultants

# BV109c Planning Applications: 'Other' applications

John Preston Responsible officer:

Additional Information This indicator measures the extent to which all other planning applications are determined in a timely manner, and is expressed as a percentage of all 'other' applications.



# Is it likely that the target will be met at the end of the year?

Uncertain

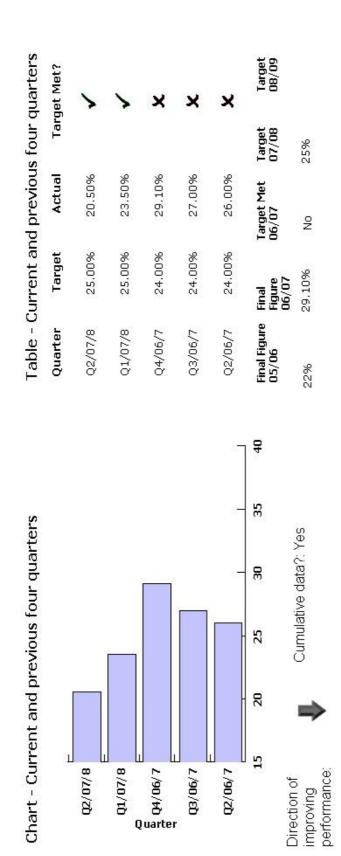
### Comment on current performance:

This exceeds the Government's target (80%), but is below the very challenging BVPP top-quartile target (91.61%). Regained an upward trend however.

### Corrective action proposed (if required):

Efficiencies will be obtained from full implementation of Anite@Work ERDMS and scanning of hard files. The capabilities of new staff will continue to be developed through resources to be undertaken by the Value for Money Scrutiny Panel may result in additional staff resources being recognised if achievement of this target is to be realised It will be essential to maintain a full establishment of staff, plus a budget to enable employment of agency staff/consultants as the needs arise. A review of workloads and formal and informal training. Further Business Process Review work following introduction of '1APP' national application forms may lead to further efficiencies.

Additional Information This indicator measures the level of successful appeals against the refusal of planning applications, and is expressed as a percentage of John Preston Responsible officer: all refusal appeals.



# Is it likely that the target will be met at the end of the year?

Uncertain

### Comment on current performance:

In this case, a low % is being sought. The national average is 30.6% and this performance exceeds both this and the BVPP top quartile target of 25%

### Corrective action proposed (if required):

It is necessary to maintain the Council's record of not refusing applications without good planning reasons. The quality of the initial decision has a direct consequence upon appeal performance. Robust defence of the Council's decision is essential, prioritising internal resource and using external consultancy resources where appropriate.

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### BMG BVPI Report- Epping Forest District Council Planning BVPI





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### 1 BVPI 111

1.1.1 Outlined below is the statistic required by the Department of Communities and Local Government. To comply with the DCLG requirements, the proportion of respondents that are very or fairly satisfied is reported for the User Satisfaction Best Value Performance Indicator. This statistic is based only on those respondents that provided a rating (i.e. excluding 'don't know' and non-respondents), and the sample base and associated confidence interval is guoted.

The table below shows the proportions of respondents overall who were satisfied and dissatisfied with the overall service provided by the Council in processing their planning application.

Table 1

	processing their	on with the service provid application (Q6) response was provided)	ed by the Council in
Very/fairly satisfied (%)	Very/fairly dissatisfied (%)	Sample base	Confidence interval
82	13	111	+/-9.3

1.1.2 The BV111 figure for Epping Forest at 82% is above the average for Single Tier and County Authorities (72%). The top quartile figure for these authorities is 80% and the bottom quartile is 65%.

### 2 Introduction

### 2.1 Background

- 2.1.1 All local authorities are required to undertake Best Value Satisfaction Surveys on a triennial basis to inform the authority's performance indicators. These indicators (known as BVPIs) are submitted to the Audit Commission and form part of the Comprehensive Performance Assessment (CPA) that measures how well councils are delivering services for local people and communities.
- 2.1.2 Epping Forest District Council contracted BMG Research to conduct their 2006 Local Government Planning Applicants Satisfaction Survey on their behalf. BMG Research has worked extensively on BVPI surveys in the past. As part of both previous statutory rounds in 2000 and 2003, as well as working with clients to conduct interim satisfaction and corporate health surveys to assist in their planning for the 2006 BVPI round.
- 2.1.3 The survey was undertaken adhering to the prescriptive guidelines laid out by the Department of Communities and Local Government for all BVPI Planning Surveys.

The survey gathered the Best Value Performance Indicator number 111, which relates to satisfaction with the planning service by those making a planning application.

As part of the Best Value initiative, a number of 'service quality' Best Value Performance Indicators have been specified by the Government to reflect users' experience of Council services. The first round of BVPIs were gathered in the year 2000 and then in 2003.

### 3 Methodology

- 3.1.1 The target population for the survey was all planning applicants or agents of applicants who had received a decision letter on their application between 3<sup>rd</sup> April 2006 and 29<sup>th</sup> September 2006.
- 3.1.2 The target population consisted of the applicants or their agents not their applications. Therefore, if an applicant had made more than one application within the sampling window, he or she would only have been surveyed once. This also applied where one or more type of planning application was made (e.g. householder development and listed building consent).
- 3.1.3 For the purposes of drawing up the sampling frame, if multiple notifications of decisions were made within the sampling window, then the first notification of decision made would be the one on which to base the sample. Subsequent notifications of decision were not included in the sampling frame. In the case of applications from companies this meant that the name on that one application effectively became the applicant.
- 3.1.4 Only applications determined by the local authority were included in the sample and not, for example, those called in by the Secretary of State.
- 3.1.5 For this particular indicator the degree of precision/accuracy required is a maximum of  $\pm$  5% at the 95% confidence level. DCLG Guidance specified that a minimum achieved sample of 400 is required. This is based on the total number of respondents to the survey not the number of respondents to each individual question.
- 3.1.6 However, there were only 262 applicants/agents who had submitted at least one application to Epping Forest Council during the sampling window, so a full Census was mailed.
- 3.1.7 The methodology was implemented according to DCLG guidelines and as such included two reminder mailings.
- 3.1.8 The initial mailing of 262 Epping Forest addresses took place on 10<sup>th</sup> October 2006, and following this those respondents who had not returned a completed questionnaire were re-mailed.
- 3.1.9 The second mailing was sent on 3<sup>rd</sup> November 2006, and the third mailing on 1<sup>st</sup> December 2006.
- 3.1.10 The survey was conducted using a postal methodology. Questionnaires were barcoded with a unique reference number to monitor the responses rate and to track which respondents had returned a completed questionnaire.
- 3.1.11 From the initial mailing and two reminder mailings, a total of 113 usable completed questionnaires were returned to BMG Research, representing an overall response rate of 43%.
- 3.1.12 Graphs and tables are used throughout the report to assist explanation and analysis. Although occasional anomalies appear due to 'rounding' differences, these are never more than +/-1%. These occur where rating scales have been

added to calculate proportions of respondents who are satisfied at all (i.e. either very or fairly satisfied).

### 4 Report Contents

4.1.1 This report contains a written summary of the findings of the survey, highlighting those statistics that are required to be reported to DCLG.

A separate data report is available, containing cross-tabulations by:

- Gender
- Age group
- Employment status
- Disability
- Ethnicity
- Status/respondent's capacity when making the application (i.e. private individual, as part of own business/ on behalf of employer or as an agent)
- Previous applications
- Outcome of application
- Overall satisfaction (with the service provided by the Planning Department)

The questionnaire followed the template provided by the DCLG for the Planning Survey, and has been included in the appendix.

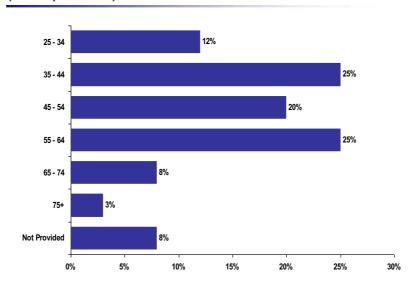
### 5 Respondents Profile

### 5.1 Gender and age

- 5.1.1 Overall, over two-thirds (68%) of respondents to the survey are male, whilst nearly a third (31%) are female. Only 1% did not provide details of their gender.
- 5.1.2 The largest proportion of respondents to the survey are aged between 35 and 44 and 55 and 64 which both accounted for 25%. The smallest proportions were respondents who were between 65 and 74 at 8% and 75+ at 3%. Eight percent declined to answer this question.

Figure 1





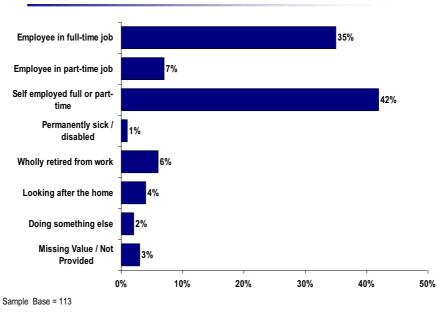
Sample Base = 113

### 5.2 Economic Status

5.2.1 The largest proportion (42%) of all respondents in the survey are self-employed, and just over a third (35%) were employed in a full-time job. Respondents who were employed in a part-time job or retired accounted for 7% and 6% of all respondents respectively.

Figure 2





### 5.3 Long-term illness and disability

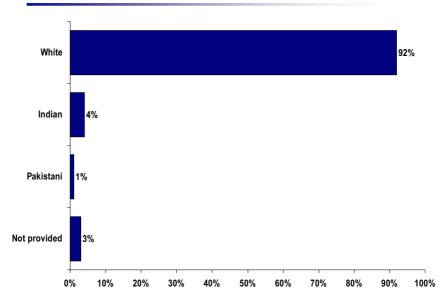
- 5.3.1 Just over one in ten (12%, thirteen respondents) of all respondents to the survey state that they have a long-standing illness, disability or infirmity.
- 5.3.2 Six of the thirteen respondents with a disability report that the disability limits their activities in some way.

### 5.4 Ethnicity

- 5.4.1 As the following graph shows, over nine in ten (92%) of all respondents described their ethnicity as White.
- 5.4.2 Five percent of respondents are from a Asian background including 4% from an Indian background and 1% from a Pakistani background. Just 3% of all respondents did not provide an answer to this question.

Figure 3





### 6 Experience of the Planning Department

### 6.1 Introduction

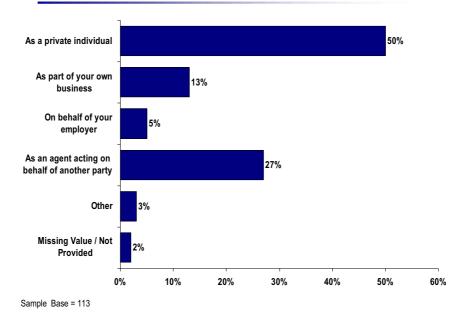
- 6.1.1 This section of the report considers respondents' experiences of the Planning Department, the frequency with which they make applications to the Planning Department for planning consent, and their level of satisfaction with the way in which the Council handled applications. Also included is in what capacity the respondent was acting when they applied, the type of application, and whether the application was granted.
- 6.1.2 Respondents were also asked to state whether they believe various aspects of the service offered by the Planning Department have improved or deteriorated over the last three years, and their reflections on this question are also included in this section.

### 6.2 Applicants' capacity, and type of application

- 6.2.1 Overall, half (50%) of the respondents applied to the Planning Department as a private individual, with a little over a quarter (27%) applying as an agent acting on behalf of another party.
- 6.2.2 Only 13% of respondents applied to the Planning Department on behalf of their own business and 5% on behalf of their employer.

Figure 4

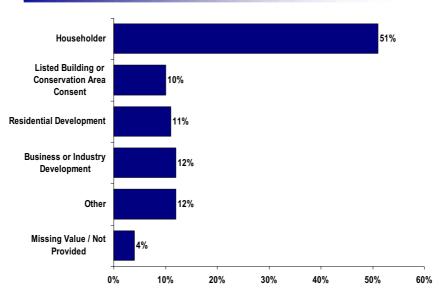
### (Q1) When respondent made their most recent application, in what capacity were they acting (All respondents)



6.2.3 A little over a half of the respondents (51%) have submitted a householder application. Additional types of application submitted include applications for business or industry development at 12% and residential development which accounted for 11%. Ten percent of applications submitted were for Listed Building or Conservation Area Consent.

Figure 5





Sample Base =113

### 6.3 Previous applications to the Planning Department

- 6.3.1 Just under two thirds of all respondents (65%) have made previous applications to Epping Forest District Council's Planning Department (prior to their most recent application), a third (33%) have not, and 1% do not recall.
- 6.3.2 All agents have made previous applications for planning consent, over six in ten (62%) of business applicants and nearly half of individual applicants (47%) have also applied for planning consent previously.
- 6.3.3 The following table shows the number of applications made during various different time periods for respondents who have applied previously.

Table 2

(Q4				as applied plied previo		′	
	1-5	6 – 10	11 – 20	21 – 50	51+	Does not apply/Don't know	Not provided
			9	% Respons	е		
In the last 6 months	53	9	0	0	0	5	32
In the last year	34	12	8	0	0	5	41
In the last 2 years	15	12	4	7	0	11	51
In the last 3 years	28	9	8	7	4	11	32
Sample Base: - 74							

### 6.4 Perceptions of the service received in the last year

- 6.4.1 Respondents were asked to rate their level of agreement with a series of five statements about the service they received from the Planning Department when making a planning application over the past year. The following table shows the responses given by respondents to each statement among those who gave a valid answer.
- 6.4.2 As can be seen, overall <u>agreement</u> levels (i.e. strongly agree or agree) are highest for the statements: 'I understand the reasons for the decision made on my application(s)' and 'I was given the advice and help I needed to submit my application correctly, which are both at (74%); and 'the Council dealt with my queries promptly' and 'I felt I was treated fairly and that my viewpoint was listened to' both accounting for 71 % of all respondents.
- 6.4.3 Levels of disagreement (i.e. strongly disagree or disagree) are highest with the statements: 'The Council kept me informed about the progress of my application' (21%); and 'The Council dealt with my queries promptly' accounting for 16%.

Table 3

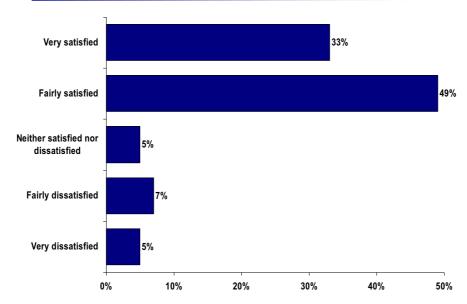
(Q5) Rating of agree		application	ons in the la			dling of pla	anning
			9/	% Response			
	Strongly agree	Agree	Neither agree nor disagree	Disagree	Strongly disagree	Net Agree	Net Disagree
I was given the advice and help I needed to submit my application correctly	28	46	13	5	8	74	13
The Council kept me informed about the progress of my application	16	45	18	13	8	61	21
The Council dealt with my queries promptly	17	54	13	9	7	71	16
I understand the reasons for the decision made on my application(s)	19	55	11	8	8	74	15
I felt that I was treated fairly and that my viewpoint was listened to	21	50	14	9	6	71	14
Sample bases vary							

### 6.5 Overall satisfaction with the service provided (BV111)

- 6.5.1 This section presents the findings for Best Value Indicator 3 (BV111). The indicator is worked out by only including valid responses, so any 'don't know' and 'not provided' responses are excluded. The indicator score is then calculated by adding the 'very satisfied' and 'fairly satisfied' scores together and expressing this as a percentage of all the valid responses.
- 6.5.2 In total, 82% of respondents are satisfied (very or fairly) with the service provided by the Council in processing their planning application, whilst only (13%) are very or fairly dissatisfied. The results are illustrated below.

Figure 6

(Q6) Respondents' rating of overall satisfaction with the service provided by the council in processing their planning application (Respondents who provided a valid answer)



- 6.5.3 Amongst respondents whose most recent planning applications had been successful, 88% are satisfied, whilst amongst those whose planning applications had been unsuccessful 67% are satisfied.
- 6.5.4 Amongst business applicants 90% are satisfied with the overall service provided, whilst 82% of individual and 80% of agent applicants recorded satisfaction with the service provided.

Table 4

(Q6) Rating of agreement with statements about experience of the council's handling of planning applications in the last year (Respondents who provided a valid answer)

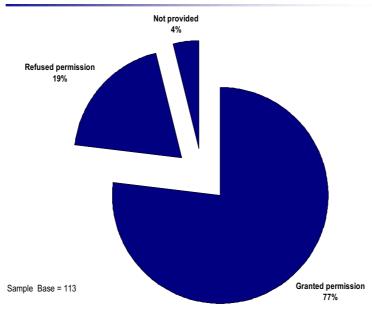
	(Respondents who provided	i a valid aliswei j	
	% Very/fairly satisfied	% Very/fairly dissatisfied	Sample bases
All respondents	82	13	111
Outcome of application			
Successful	88	6	86
Not successful	67	29	21*
Whether applied previously			
Yes, have	79	12	73
No, have not	86	14	37*
Capacity of respondent			
Private individual	82	14	56
As part of own business	90	10	21*
As an agent	80	10	30*
* Caution low base size			

### 6.6 Whether most recent planning application was granted permission/consent

6.6.1 Over three quarters (77%) of all respondents state that their most recent planning application was granted permission/consent, whilst 19% state that this was refused.

Figure 7





6.6.2 Amongst those respondents who are satisfied with the service provided, 84% had their planning application granted. Amongst respondents who are dissatisfied, the equivalent proportion is much lower at 36%.

### 6.7 Whether the service has improved

- 6.7.1 Those respondents who had made previous applications were asked to rate the change in five specific elements of the planning service over the past three years. The following table summarises the results, and also includes a net improvement rating i.e. the proportion of respondents who feel each aspect has got better minus the proportion of respondents who feel each aspect has got worse.
- 6.7.2 For all five aspects, similar proportions of respondents who gave a response thought that the service had stayed the same. Relatively, the largest proportion. (68%) noted that 'the advice and help provided to submit my application' had not changed. For each of the statements; the clarity of the reasons for the decisions given'; 'the fairness with which my application was dealt with and viewpoint listened to'; and 'the promptness with which my application(s) were dealt with', 65% reported the service provided as not changing.
- 6.7.3 For four of the five elements, 12% or less thought that the services had got worse over the past three years. The exception being with the statement 'the information provided about the process of my application' where 16% thought the service had got worse. Encouragingly all elements received a positive net rating for change over the last three years.

Table 5

(Q8) Change in specified elements of the planning service over the last three years (Respondents who have made previous planning applications and provided a valid answer)

	Better	Stayed the same	Worse	Net rating	Sample base
	%	%	%	%	Sarr ba
The advice and help provided to submit my application	30	68	2	+28	47
The information provided about the progress of my application	22	61	16	+6	49
The promptness with which my application(s) were dealt with	22	65	12	+10	49
The clarity of the reasons for the decision given	28	65	7	+21	46
The fairness with which my application was dealt with and viewpoint listened to	22	65	12	+10	49

Net rating = % rating 'better' - % rating 'worse'

### 7 Appendix 1 – The Questionnaire

### SECTION 1: YOUR EXPERIENCE WITH THE PLANNING DEPARTMENT

Q1.	When you made your most recent a acting? Please tick ✓ one box	application	on, in what capacity were you	
	As a private individual		As an agent acting on behalf of another party	$\square_4$
	As part of your own business	$\square_2$	Other (✓ and write in below)	95
	On behalf of your employer	$\square_3$		
Q2.	What type of application were you s Please tick ✓ one box	ubmittir	ng?	
	Householder		Business or Industry Development	
	Listed Building or Conservation Area Consent	$\square_2$	(including minerals and waste development)	$\square_4$
	Residential Development	$\square_3$	Other (✓ and write in below)	95
Q3.	Have you applied to Epping Forest planning consent previous to your needs tick ✓ one box only		. • .	
	Yes □	N	o Do not recall	
			<b>□</b> 3	

IF YOU HAVE ANSWERED 'YES', PLEASE CONTINUE TO QUESTION 4. IF YOU HAVE ANSWERED 'NO' OR 'DO NOT RECALL' PLEASE GO TO QUESTION 5.

Q4.	Please indicate Council plannii Please tick ✓	ng departi	ment for	planning			ng Forest D	Pistrict
	In the last six mo In the last In the last two y In the last three y	onths [ year [ years [	- <b>5</b> 1 1 1 1 1 1 1 1	6 - 10	11 - 20	21 - 50	<b>51+</b> □ 5 □ 5 □ 5 □ 5	It does not apply/ Don't know  6  6  6  6
Q5.	Please indicate statements aboa application(s)	out your e	xperienc	e of the	council's se tick ✓ er nor	handling	of your pla	•
•	the advice and	Agree	Agree	uisag	ice Di	Sagree	disagree	KIIOW
appli	ed to submit my cation correctly	$\square_1$	$\square_2$		3	$\square_4$	$\square_5$	$\square_6$
informed abo	council kept me ut the progress my application		$\square_2$		3	$\square_4$	$\square_5$	$\square_6$
	I dealt promptly with my queries		$\square_2$		3	$\square_4$	$\square_5$	$\square_6$
the decision	the reasons for on made on my application(s) as treated fairly		$\square_2$		3	$\square_4$	<b></b> 5	$\square_6$
	viewpoint was listened to	$\square_1$	$\square_2$		3	$\square_4$	$\square_5$	$\square_6$
Q6.	Setting aside v satisfied or dis processing you	satisfied a	ire you w	vith the s	ervice pr	ovided b	y the cound	
	Very satisfied	d Fairly [	satisfied	satis	either fied nor atisfied		airly atisfied	Very dissatisfied

Granted permission/consent	Refuse	d permission/c	onsent [	$\beth_2$
IF YOU HAVE MADE <u>MORE THAN ONE</u> AN YEARS PLEASE CONTINUE TO QUESTIC				
Q8. For each of the following elements of Forest District Council please indicate better or worse over the last three year tick ✓ one box per row	whether y	ou think the s	ervice has	got
	Better	Stayed the same	Worse	Don't know
The advice and help provided to submit my application			$\square_3$	
The information provided about the progress of my application		$\square_2$	$\square_3$	$\square_4$
The promptness with which queries about my application were dealt with		$\square_2$	$\square_3$	$\square_4$
The clarity of the reasons for the decision given  The fairness with which my application was		$\square_2$	$\square_3$	$\square_4$
dealt with and viewpoint listened to	$\bigsqcup_1$	$\bigsqcup_2$	<b></b> 3	4

Q7. Was your most recent application: Please tick ✓ one box only

### SECTION 2: ABOUT YOURSELF

PLEASE ANSWER ALL OF THE FOLLOWING QUESTIONS	
Q9. Are you male or female? Please tick ✓ one box only Male	
Q10. What was your age on your last birthday? Please writ	e in below
Years	
Q11. Which of these activities best describes what you are Please tick ✓ one box only	doing at present?
Employee in full-time job (30 hours plus per week)	
Employee in part-time job (under 30 hours per week)	$\square_2$
Self employed full or part-time	$\square_3$
On a government supported training programme (e.g. Modern Apprenticeship/ Training for Work)	$\square_4$
Full-time education at school, college or university	$\square_5$
Unemployed and available for work	$\square_6$
Permanently sick/disabled	$\square_7$
Wholly retired from work	$\square_8$
Looking after the home	$\square_9$
Doing something else (✓ and write in below)	95
Q12. Do you have any long-standing illness, disability or inf means anything that has troubled you over a period of affect you over a period of time) Please tick ✓ one k	time or that is likely to
Yes (Please continue to Q13)	e go to Q14)
Q13. Does this illness or disability limit your activities in any one box only	•
Yes	

o which of these groups do you co	nsider	you belong? Please tick ✓ one b	ОХ
White		Black or Black British	
British	$\square_1$	Caribbean	$\square_8$
Irish	$\square_2$	African	$\square_9$
Any other White background (✓ and write in below)	$\square_3$	Any other Black background (✓ and write in below)	10
Mixed		Asian	
White & Black Caribbean	$\square_4$	Indian	$\square_{11}$
White & Black African	$\square_5$	Pakistani	$\square_{12}$
White & Asian	$\square_6$	Bangladeshi	$\square_{13}$
Any other Mixed background (✓ and write in below)	$\square_7$	Any other Asian background (✓ and write in below)	14
Chinese and Other ethnic groups			
Chinese	15	Other ethnic group (✓ and write in below)	95
s there anything else you would like	e to ado	1?	

Thank you very much for taking part in this survey.

Please return your questionnaire in the pre-paid envelope provided to:

<Address>

Please return your questionnaire by <Date>